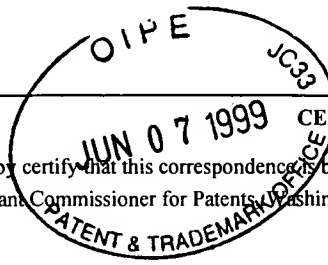
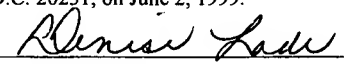


Receipt #4  
M. Th. Schuman  
7-15-99  
1642

	PATENT Docket No. 304142000201
	<b>CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"</b> I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 2, 1999.   Denise Lade

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Malaya Chatterjee et al.

Serial No.: 09/293,533

Filing Date: April 15, 1999

For: MONOCLONAL ANTIBODY 1A7 AND  
USE FOR THE TREATMENT OF  
MELANOMA AND SMALL CELL  
CARCINOMA

Examiner: To Be Assigned

Group Art Unit: 1632

**RECEIVED**  
JUN 28 1999  
TECH CENTER 1600/2900

**REQUEST FOR A CORRECTED FILING RECEIPT**

Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The correction needed is as follows:

1. Please have the title read, MONOCLONAL ANTIBODY 1A7 AND USE FOR THE TREATMENT OF MELANOMA AND SMALL CELL CARCINOMA.

The highlighted symbol is a 1 and not an I.

A copy of the declaration submitted upon filing and a marked-up Filing Receipt is attached.

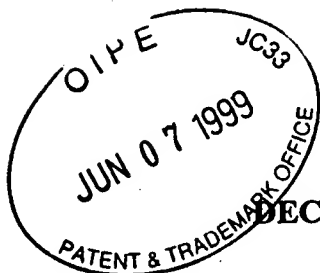
In the unlikely event that the Patent Office determines that a fee is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: June 2, 1999

By: Catherine M. Polizzi  
Catherine M. Polizzi  
Registration No. 40,130

Morrison & Foerster LLP  
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Palo Alto, California 94304-1018  
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PATENT  
Docket No. 304142000221

## DECLARATION FOR UTILITY PATENT APPLICATION

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: MONOCLONAL ANTIBODY 1A7 AND USE FOR THE TREATMENT OF MELANOMA AND SMALL CELL CARCINOMA, the specification of which is attached hereto unless the following box is checked:

- ☐ was filed on United States Application Serial No. or PCT International Application No. and was amended on

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
08/372,676	1/17/95	<input type="checkbox"/> Patented <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Abandoned
08/591,196	1/16/96	<input type="checkbox"/> Patented <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

11/11/96  
Date

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Date

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## FILING RECEIPT

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	APP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/293,533	04/15/99	1632	\$452.00	304142000201	24	28	2

CATHERINE M POLIZZI  
MORRISON & FOERSTER LLP  
755 PAGE MILL ROAD  
PALO ALTO CA 94304-1018

RECEIVED

MAY 27 1999

MORRISON &amp; FOERSTER

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

MALAYA CHATTERJEE, LEXINGTON, KY; KENNETH A. FOON,  
LEXINGTON, KY; SUNIL K. CHATTERJEE, LEXINGTON, KY.

## CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/752,844 11/21/96  
WHICH IS A CIP OF 08/591,196 01/16/96  
WHICH IS A CIP OF 08/372,676 01/17/95 PAT 5,612,030

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/21/99 \*\* SMALL ENTITY \*\*  
TITLE

MONOCLONAL ANTIBODY IA7 AND USE FOR THE TREATMENT OF MELANOMA AND  
SMALL CELL CARCINOMA

PRELIMINARY CLASS: 435

DATA ENTRY BY: SMITH ANNETTE

TEAM: 03 DATE: 05/21/99

DOCKETED

ART